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LAHAINA, MAUI, HAWAII 96761-2314  
TEL 808/280-2413  
FAX 808/356-0263**FACSIMILE COVER SHEET****To:** Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450**Facsimile No. (703) 872-9306****Re:** Application No. 10/821,720  
US NonProvisional Application for  
BASEBALL STYLE HAT WITH SIZE ADJUSTMENT  
Inventor: Felipe Claro  
Filing Date: April 9, 2004  
Our File No. FC-03-01C01**From:** Kuni Oh, Esq.  
JACKSON & CO., LLP  
6114 La Salle Ave., Suite 507  
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Fax (510) 652-5691**Pages:** 10 (Including Cover Sheet)**Date:** July 15, 2005

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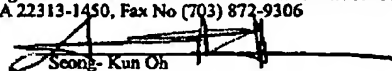
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| In re Patent Application of | ) | Group Art Unit: 3765                    |
|                             | ) |   |
| Felipe CLARO                | ) | Anticipated Examiner:                   |
|                             | ) | Katherine M. Moran                      |
| Appln. No. 10/821,720       | ) |   |
|                             | ) | <b>INFORMATION DISCLOSURE STATEMENT</b> |
| Filed: April 9, 2004        | ) | <b>TRANSMITTAL</b>                      |
|                             | ) |   |
| For: BASEBALL STYLE HAT     | ) | <b>Customer No. 30349</b>               |
| WITH SIZE ADJUSTMENT        | ) | Jackson & Co., LLP                      |
|                             | ) | 6114 La Salle Ave., # 507               |
|                             | ) | Oakland, CA 94611-2802                  |

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|---|


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir: Enclosed herewith please find the following papers:

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2. Information Disclosure Statement including Form PTO-1449
3. Certificate of Transmission (in duplicate);
4. Deposit Account Authorization (in duplicate).

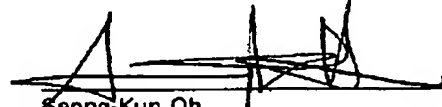
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Respectfully submitted,  
JACKSON & CO., LLP

  
July 18, 2005  
(Date)

(FC-03-01C01)

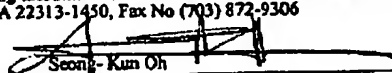
By:

  
Seong-Kun Oh  
Registration No. 48,210  
Attorneys for Applicant(s)

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| WITH SIZE ADJUSTMENT        | ) | Jackson & Co., LLP                      |
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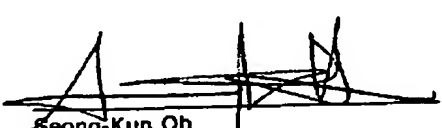
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Respectfully submitted,  
JACKSON & CO., LLP

July 18, 2005  
(Date)

(FC-03-01C01)

By:

  
Seong-Kun Oh  
Registration No. 48,210  
Attorneys for Applicant(s)

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|                                   | ) |                                 |
| Felipe CLARO                      | ) | Anticipated Examiner: Katherine |
|                                   | ) | M. Moran                        |
| Application No. 10/821,720        | ) |                                 |
|                                   | ) | <u>INFORMATION DISCLOSURE</u>   |
| Filed: April 09, 2004             | ) | <u>STATEMENT</u>                |
|                                   | ) |                                 |
| For: BASEBALL STYLE HAT WITH SIZE | ) | Jackson & Co., LLP              |
| ADJUSTMENT                        | ) | 6114 La Salle Ave., #507        |
|                                   | ) | Oakland, CA 94611-2802          |
|                                   | ) |                                 |
|                                   | ) | Customer No. 30349              |

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PO Box 1450, Alexandria VA 22313-1450, Fax No (703) 872-9396

on July 18, 2005.

Scott Kun Oh

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant submit herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which aware, which believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).

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- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.
- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(P) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-

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1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

(h) ☐ each (i) ☐ none (j) ☒ only those listed below:

- Front page of Brazilian Patent No PI 9404150-4 A

Those patent(s) or publication(s) which are marked with an asterisk (\*) in the attached form PTO-1449 (Modified) are not supplied because they were previously cited by or submitted to the Office in a prior application no. , filed and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

- (k) ☒ not given
- (l) ☐ given for each listed item
- (m) ☐ given for only non-English language listed item(s) [Required]
- (n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other

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-4-

material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

[X] The Commissioner is hereby authorized to charge our Deposit Account No. 50-2019 for any fees required in connection with the filing of this Information Disclosure Statement. A duplicate copy of this Notice is enclosed for this purpose. In particular, in the event that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is authorized to charge the above-named deposit account for any fees required pursuant to CFR §§ 1.17(p) or 1.17(i)(1).

Respectfully submitted,  
JACKSON & CO., L.L.P.

Dated: July 15, 2005

By. 

Seong-Kun Oh  
Reg. No. 48,210  
Attorneys for Applicant

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6114 La Salle Ave., #507  
Oakland, CA 94611-2802  
Tel. No. 510/652-6418

Our File No: FC-03-01C01

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-4-

material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

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Respectfully submitted,  
JACKSON & CO., L.L.P.

Dated: July 15, 2005

By 

Seong-Kum Oh  
Reg. No. 48,210  
Attorneys for Applicant

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Tel. No. 510/652-6418

Our File No: FC-03-01C01



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Sheet 1 of 1

|   |       |   |            |                                    |       |                              |                                       |
|---|-------|---|------------|------------------------------------|-------|------------------------------|---------------------------------------|
| FORM PTO-1449 (Modified)<br>(Rev. 7-80)   |       | U.S. Dept. of Commerce<br>Patent and Trademark Office |            | Atty Docket No.<br><br>FC-03-01C01 |       | Appln. No.<br><br>10/821,720 |                                       |
| INFORMATION DISCLOSURE CITATION<br><br>(Use several sheets if necessary)  |       |   |            | Applicant(s)<br>Felipe CLARO       |       |                              |                                       |
|   |       |   |            | Filing Date<br>April 9, 2004       |       | Group<br>3765                |                                       |
|   |       |   |            |                                    |       |                              |                                       |
| U.S. PATENT DOCUMENTS   |       |   |            |                                    |       |                              |                                       |
| *Examiner<br>Initials   |       | Document Number                                       | Date       | Name                               | Class | Subclass                     | Filing Date                           |
|   | AA    | 2,458,275   | 01/04/1949 | Kriebel                            |       |                              | 12/06/1946                            |
|   | BB    | 2,556,807   | 06/12/1951 | Goldman                            |       |                              | 01/25/1949                            |
|   | CC    | 5,603,121   | 02/18/1997 | Borkovic et al                     | A42B  | 1/22                         | 02/29/1996                            |
|   | DD    | 2,101,107   | 08/06/1935 | Probst                             |       |                              | 01/28/1935                            |
|   | EE    | 3,737,918   | 06/12/1973 | Henschel et al                     | 2     | 197                          | 08/16/1971                            |
|   | FF    | 2,775,769   | 01/01/1957 | Henschel                           |       |                              | 7/12/1954                             |
|   |       |   |            |                                    |       |                              |                                       |
| FOREIGN PATENT DOCUMENTS  |       |   |            |                                    |       |                              |                                       |
| *Examiner<br>Initials   |       | Document Number                                       | Date       | Country                            | Class | Subclass                     | Translation<br>YES NO                 |
|   | AA    | PI 9404150-4 A  | 0620/1995  | Brazil                             |       |                              | <input checked="" type="checkbox"/> X |
|   |       |   |            |                                    |       |                              |                                       |
| OTHER DOCUMENTS   |       |   |            |                                    |       |                              |                                       |
|   | XXIX. |   |            |                                    |       |                              |                                       |
|   |       |   |            |                                    |       |                              |                                       |
| Examiner  |       |   |            | Date Considered                    |       |                              |                                       |
| * Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.<br>Include copy of this form with next communication to applicant. |       |   |            |                                    |       |                              |                                       |

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